

Application

Federal Award Identification Number (FAIN)	IN-2018-022-00
Temporary Application Number	1189-2018-2
Application Name	Section 5311 and 5339 Grants for SFY 2019
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, Indiana Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1189	State Agency	INDIANA DEPARTMENT OF TRANSPORTATION	824799555

Location Type	Address	City	State	Zip
Headquarters	200 W WASHINGTON ST STE 206	INDIANAPOLIS	IN	462042731
Physical Address	100 N SENATE AVE RM N758	INDIANAPOLIS	IN	46204
Mailing Address	100 N SENATE AVE RM N749	INDIANAPOLIS	IN	46204

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Section 5311 and 5339 Grants for SFY 2019

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
IN-2018-022-00	Final Concurrence / Reservation	Grant	6/14/2018	6/14/2018	No

Application Start Date

The start date will be set to the date of the award

Application End Date

12/30/2020

Application Executive Summary

This grant to the Indiana Department of Transportation (INDOT) will utilize FFY 2018 and FFY 2016 Section 5311 Funds and FY 2018 and FFY 2016 Section 5339. The funds will be used for operating assistance for 43 rural transit providers, operating assistance for 2 intercity bus providers, RTAP, and 73 replacement transit vehicles, 11 expansion transit vehicles and State Administration. The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, and the state Public Mass Transportation Fund (PMTF). The local match for the 2 Intercity Projects will be in-kind match provided by Greyhound. The transportation providers are listed on the attached program of projects (Operating POP #1 and Capital POP #2).

The federal funding includes the following:

Program Year	Amount	Account Class Code
Section 5311 2018	\$16,925,274	(2018.25.18.81)
Section 5311 2016	\$713,020	(2016.25.18.81)
Section 5311(RTAP) 2018	\$291,529	(2018.25.18.R7)
Section 5339 2018	\$3,017,044	(2018.25.34.BF)
Section 5339 2016	\$47,596	(2016.25.34.BF)

Total \$20,994,463

Attachments to this application include the FTA/FHWA STIP approval letter, STIP Cover Page, Section 5311 program of projects, Section 5339 program of projects, a list of replacement vehicles, Section 5311/5339 Grant Application, Intercity Bus Grant Application, Section 5311/5339 State Management Plan, In-Kind Match documentation-Barons Bus, In-Kind Match documentation-Miller, Greyhound Commitment Letter-Barons Bus, Greyhound Commitment Letter-Miller, Intercity Bus Schedule-Barons, Intercity Bus Schedule-Miller, Intercity Route Map-Barons, Intercity Route Map-Miller, INDOT Intercity Bus Study, INDOT TAM Plan, and DOL documentation.

The award date is the same as the date of award.

The award end date is 12/31/2020.

This application does not include funds for Research and/or Development activities.

Indirect costs will not be applied to this application and its scope of work.

DES NUMBERS 1500264 and 1500271

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Susan	Weber	Community Planner	susan.weber@dot.gov	(312) 353-3888
Ehsanullah	Rassul	General Engineer	ehsanullah.rassul@dot.gov	312-705-1268
Larry	Buckel	Section Manager	lbuckel@indot.in.gov	317-232-5292

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,929,823
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$3,064,640
Local			\$8,412,001
Local/In-Kind			\$2,645,744
State			\$6,746,709
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$38,798,917

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2018-022-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$14,392,550.00	\$14,392,550.00	\$28,785,100.00	1
IN-2018-022-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$14,392,550.00	\$14,392,550.00	\$28,785,100.00	1
IN-2018-022-02-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$600,000.00	\$0.00	\$600,000.00	1
IN-2018-022-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$600,000.00	\$0.00	\$600,000.00	1
IN-2018-022-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$291,529.00	\$0.00	\$291,529.00	1
IN-2018-022-03-00	43.50.02 TECHNICAL ASSISTANCE	\$291,529.00	\$0.00	\$291,529.00	1
IN-2018-022-04-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$2,645,744.00	\$2,645,744.00	\$5,291,488.00	1
IN-2018-022-04-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,645,744.00	\$2,645,744.00	\$5,291,488.00	1
IN-2018-022-05-00	111-00 (111-A6) BUS - ROLLING STOCK	\$2,690,560.00	\$672,640.00	\$3,363,200.00	73
IN-2018-022-05-00	11.12.04 BUY REPLACEMENT	\$2,690,560.00	\$672,640.00	\$3,363,200.00	73
IN-2018-022-06-00	111-00 (111-A5) BUS - ROLLING STOCK	\$374,080.00	\$93,520.00	\$467,600.00	11
IN-2018-022-06-00	11.13.04 BUY	\$374,080.00	\$93,520.00	\$467,600.00	11

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: FFY 2018 and FFY 2016 Section 5311 Funds for Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2018-022-01-00	1189-2018-2-P1	7/2/2018	12/31/2018	12/30/2020

Project Description

The INDOT is utilizing FFY 2016 (\$713,020) and FFY 2018 Section 5311 (\$13,679,530) Funds in the total amount of \$14,392,550 (2016.25.18.81.2 and 2018.25.18.81.2) for operating assistance to 43 rural transit agencies. The Section 5311 sub grantees are listed on the attached Program of Projects - POP #1.

The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, and the state Public Mass Transportation Fund (PMTF).

DES NUMBER 1500264

Project Benefits

To help offset operating cost for Indiana's 43 rural transit agencies. These agencies will provide over 2.5 million passenger trips in Rural Areas around the State of Indiana.

Additional Information

The INDOT is utilizing FFY 2016 (\$713,020) and FFY 2018 Section 5311 (\$13,679,530) Funds in the total amount of \$14,392,550 (2016.25.18.81.2 and 2018.25.18.81.2) for operating assistance to 43 rural transit agencies. The operating assistance will help offset operating cost for Indiana's 43 rural transit agencies. These agencies will provide over 2.5 million passenger trips in Rural Areas around the State of Indiana.

1. In executing this grant, INDOT agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.
2. As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA-assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Location Description

This grant will provide operating assistance to 43 transit systems all around the state of Indiana in Rural Areas.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	4	Todd Rokita
Indiana	5	Susan W Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Todd C Young
Indiana	10	DIST LOST IN 02 REDISTRICTED

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,392,550
Local			\$7,645,841
Local/In-Kind			\$0
State			\$6,746,709
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$28,785,100

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2018-022-01-00	300-00 OPERATING ASSISTANCE (300-A1)	\$14,392,550.00	\$14,392,550.00	\$28,785,100.00	1
IN-2018-022-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$14,392,550.00	\$14,392,550.00	\$28,785,100.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

The INDOT is utilizing FFY 2016 (\$713,020) and FFY 2018 Section 5311 (\$13,679,530) Funds in the total amount of \$14,392,550 (2016.25.18.81.2 and 2018.25.18.81.2) for operating assistance to 43 rural transit agencies.

The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, and the state Public Mass Transportation Fund (PMTF).

DES NUMBER 1500264

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,392,550
Local			\$7,645,841
Local/In-Kind			\$0
State			\$6,746,709
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$28,785,100

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	Local Contracts Start Date is 1/1/2019
End Date	12/31/2020	Local Contracts complete and closed

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$14,392,550.00	\$28,785,100.00

Project Title: FFY 2019 State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2018-022-02-00	1189-2018-2-P2	7/2/2018	9/30/2018	12/30/2020

Project Description

The INDOT is utilizing FFY 2018 Section 5311 funds in the amount of \$600,000 (2018.25.18.81.2) for state administration which is at the allowed 100 percent federal share and less than the maximum of 10 percent.

The State Administration funds will pay salaries for the Section 5311 staff and supplement the technical assistance contract. The Indiana DOT, Office of Transit is responsible for administering the grant applications, administering the grant contracts, processing payments, conducting site visits, monitoring program compliance, providing technical assistance and other programming administrative functions.

DES # 1500264

Project Benefits

The State Administration funds will pay salaries for the Section 5311 staff and supplement the technical assistance contract. The Indiana DOT, Office of Transit is responsible for administering the grant applications, administering the grant contracts, processing payments, conducting site visits, monitoring program compliance, providing technical assistance and other programming administrative functions.

1. In executing this grant, INDOT agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.
2. As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA-assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Additional Information

The State Administration funds will pay salaries for the Section 5311 Office of Transit Staff and supplement the technical assistance contract. The Indiana DOT, Office of Transit is responsible for administering the grant applications, administering the grant contracts, processing payments, conducting site visits, monitoring program compliance, providing technical assistance and other programming administrative functions.

The Office of Transit has a staff of 6 full time employees and we have a contract with RLS and Associates to provide technical assistance to our rural transit providers.

Location Description

This grant will provide state administration and technical assistance to rural transit systems around the state of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	4	Todd Rokita
Indiana	5	Susan W Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Todd C Young
Indiana	10	DIST LOST IN 02 REDISTRICTED

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$600,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$600,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2018-022-02-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$600,000.00	\$0.00	\$600,000.00	1
IN-2018-022-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$600,000.00	\$0.00	\$600,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

The INDOT is utilizing FFY 2018 Funds in the amount of \$600,000 (2018.25.18.81.2) for State Administration for the period of October 1, 2018 through December 31, 2020

The State Administration funds will pay salaries for the Section 5311 staff. The staff is responsible for administering the grant applications, administering the grant contracts, processing payments, conducting site visits, monitoring program compliance, providing technical assistance supplementing the technical assistance contract, and other programming administrative functions.

DES #1500264

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$600,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$600,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	Start State Administration
End Date	12/31/2020	Complete State Administration

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$600,000.00	\$600,000.00

Project Title: FFY 2018 RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2018-022-03-00	1189-2018-2-P3	7/3/2018	9/30/2018	12/30/2020

Project Description

The INDOT is utilizing FFY 2018 Section 5311 RTAP Funds in the amount of \$291,529 (2018.25.18.R7.2) for the Indiana RTAP Program. The Indiana Department of Transportation has a contract with RLS and Associates for our nationally recognized Rural Technical Assistance Program (RTAP). They provide technical assistance to our rural transit operators. The technical assistance information can be found at our RTAP web-site at <http://www.indianartap.com>.

DES # 1500264

Project Benefits

To provide technical assistance and training to Indiana Public and Specialized Transportation Providers all around the state. This includes 43 rural transit systems and over 100 specialized transportation providers. The technical assistance and training includes safety and security, drug and alcohol, driving training,

evacuations, passenger assistance, and other technical assistance and training regarding public and specialized transportation.

Additional Information

To provide technical assistance and training to Indiana Public and Specialized Transportation Providers.

Location Description

The training and technical assistance is for public and specialized transportation providers all around the state.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	4	Todd Rokita
Indiana	5	Susan W Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Todd C Young
Indiana	10	DIST LOST IN 02 REDISTRICTED

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$291,529
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$291,529

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2018-022-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$291,529.00	\$0.00	\$291,529.00	1
IN-2018-022-03-00	43.50.02 TECHNICAL ASSISTANCE	\$291,529.00	\$0.00	\$291,529.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

The INDOT is utilizing FFY 2018 Section 5311 RTAP Funds in the amount of \$291,529 (2018.25.18.R7.2) for the Indiana RTAP Program for the period of October 1, 2018 through December 31, 2020. The Indiana Department of Transportation has a contract with RLS and Associates for our nationally recognized Rural Technical Assistance Program (RTAP). They provide technical assistance to our rural transit operators. The technical assistance information can be found at our RTAP web-site at <http://www.indianartap.com>. There is no local share requirement for RTAP funds as per FTA Circular 9040.1G (Page IX-1 and IX-2, Number 3d.)

DES # 1500264

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$291,529
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$291,529

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	Start Date

End Date	12/31/2020	End Date
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	1	\$291,529.00	\$291,529.00

Project Title: FFY 2018 Intercity Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2018-022-04-00	1189-2018-2-P4	7/5/2018	12/31/2018	6/29/2020

Project Description

The INDOT is utilizing FFY 2018 Section 5311 Funds in the amount of \$2,645,744 (2018.25.18.81.2) for Intercity Bus Operating Assistance Projects. The INDOT Intercity Bus Study is attached to this application as planning support. INDOT has awarded two intercity bus operating grants. The intercity bus providers are Miller Transportation and Barons Bus. Miller Transportation provides service to 5 routes in Indiana that serve the rural areas and provide meaningful connections. Barons Bus provides service to 3 routes in Indiana that serve the rural areas and provide meaningful connections.

DES # 1500264

Project Benefits

To help offset operating cost for Indiana's Intercity Bus Projects and provide intercity bus service to rural areas around the state.

Additional Information

The intercity bus providers are Miller Transportation and Barons Bus. Miller Transportation provides service to 5 routes in Indiana that serve the rural areas and provide meaningful connections. Barons Bus provides service to 3 routes in Indiana that serve the rural areas and provide meaningful connections. The route maps and bus schedules are attached to this grant application.

Location Description

The grant will provide access to intercity bus transportation to rural areas through-out the state.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	4	Todd Rokita
Indiana	5	Susan W Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Todd C Young
Indiana	10	DIST LOST IN 02 REDISTRICTED

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,645,744
Local			\$0
Local/In-Kind			\$2,645,744
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$5,291,488

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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IN-2018-022-04-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$2,645,744.00	\$2,645,744.00	\$5,291,488.00	1
IN-2018-022-04-00	30.09.01	UP TO 50% FEDERAL SHARE	\$2,645,744.00	\$2,645,744.00	\$5,291,488.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

The INDOT is utilizing FFY 2018 Section 5311 Funds in the amount of \$2,645,744 (2018.25.18.81.2) for Intercity Bus Operating Assistance Projects. The sub grantees are listed on the Program of Projects. The source of the local match is in-kind match from Greyhound. The in-kind match comment letters from Greyhound have been attached for Barons Bus and Miller Transportation.

DES # 1500264

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,645,744
Local			\$0
Local/In-Kind			\$2,645,744
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$5,291,488

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	Strat Intercity Bus Operating Assistance
End Date	6/30/2020	Complete Intercity Bus Operating Assistance

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$2,645,744.00	\$5,291,488.00

Project Title: FFY 2018 Replacement Vehicles

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2018-022-05-00	1189-2018-2-P5	7/5/2018	9/29/2015	9/29/2020

Project Description

The INDOT is utilizing FFY 2016 and FFY 2018 Section 5339 Funds in the amount of \$2,690,560 (2018.25.34.BF (\$2,642,964) and 2016.25.34.BF (\$47,596) to purchase 73 replacement transit vehicles. The INDOT TAM plan is attached to the application as planning support. The transit vehicles being purchased will replace transit vehicles currently that have reached their useful life of 4 years and/or 100,000 miles. A list of the vehicles being replaced is attached and includes the years and miles which all exceed 4 years and/or 100,000 miles.

DES NUMBER 1500271

Project Benefits

Newer vehicles will provide for more reliable and efficient service for our transit providers.

Additional Information

The vehicles will be procured through a state contact with Mid West Transit

Location Description

The replacement vehicles will be used by agencies all around the state of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	4	Todd Rokita
Indiana	5	Susan W Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Todd C Young
Indiana	10	DIST LOST IN 02 REDISTRICTED

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$2,690,560
Local			\$672,640
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$3,363,200

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2018-022-05-00	111-00 (111-A6) BUS - ROLLING STOCK	\$2,690,560.00	\$672,640.00	\$3,363,200.00	73
IN-2018-022-05-00	11.12.04 BUY REPLACEMENT	\$2,690,560.00	\$672,640.00	\$3,363,200.00	73

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	73

Extended Budget Description

The INDOT is utilizing FFY 2016 and FFY 2018 Section 5339 Funds in the amount of \$2,690,560 (2016.25.34.BF (\$47,596) and 2018.25.34.BF (\$2,642,964)) to purchase 73 replacement transit vehicles. The transit vehicles being purchased will replace transit vehicles currently that have reached their useful life of 4 years and/or 100,000 miles. The useful life of the transit vehicles will be 4 years and/or 100,000 miles. The source of the local match include the following: City General Funds, County General Funds, Local Agency Funds, Fund Raisers, and Private Donations.

DES NUMBER 1500271

1. In executing this grant, INDOT agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

2. As Grant Agreement includes an FTA?funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA?assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA? assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Less than 30 foot

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$2,690,560
Local			\$672,640
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$3,363,200

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/30/2015	The state of Indiana has a contract for transit vehicles. The contract went out for Bid on 9/30/2015
Contract Award Date	2/29/2016	The state contract was awarded to Mid West Transit on 2/29/2016. The contract expires in 4 years from the date approved.
Initial Delivery Date	6/30/2019	The first vehicle(s) will be delivered
Final Delivery Date	6/30/2020	The final vehicle(s) will be delivered
Contract Completion Date	9/30/2020	The contract will be closed

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	73	\$2,690,560.00	\$3,363,200.00

Project Title: FFY 2018 Expansion Vehicles

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2018-022-06-00	1189-2018-2-P6	7/5/2018	9/29/2015	9/29/2020

Project Description

The INDOT is utilizing FFY 2018 Section 5339 Funds in the amount of \$374,080 (2018.25.34.BF) to purchase 11 expansion transit vehicles. The INDOT TAM plan is attached to the application as planning support. The transit vehicles will be used to meet increased demand. The useful life of the transit vehicles will be 4 years and/or 100,000 miles.

DES NUMBER 1500271

Project Benefits

The transit vehicles will be used to transport citizens in rural areas around the state.

Additional Information

INDOT will procure the transit vehicles from the State Contract with Mid West Transit.

Location Description

The transit vehicles will be used by agencies in rural areas to transport citizens all over the state of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	4	Todd Rokita
Indiana	5	Susan W Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Todd C Young
Indiana	10	DIST LOST IN 02 REDISTRICTED

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$374,080
Local			\$93,520
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$467,600

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2018-022-06-00	111-00 (111-A5) BUS - ROLLING STOCK	\$374,080.00	\$93,520.00	\$467,600.00	11
IN-2018-022-06-00	11.13.04 BUY	\$374,080.00	\$93,520.00	\$467,600.00	11

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.04 - BUY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	11

Extended Budget Description

The INDOT is utilizing FFY 2018 Section 5339 Funds in the amount of \$374,080 (2018.25.34.BF) to purchase 11 expansion transit vehicles. The useful life of the transit vehicles will be 4 years and/or 100,000 miles. The source of the local match include the following: City General Funds, County General Funds, Local Agency Funds, Fund Raisers, and Private Donations.

DES NUMBER 1500271

1. In executing this grant, INDOT agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

2. As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA-assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Less than 30 foot

Funding Source	Section of Statute	CFDA Number	Amount
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5339 – Buses and Bus Facilities Formula	5339-1	20526	\$374,080
Local			\$93,520
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$467,600

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/30/2015	The state of Indiana has a contract for transit vehicles. The contract went out for Bid on 9/30/2015
Contract Award Date	2/29/2016	The state contract was awarded to Mid West Transit on 2/29/2016. The contract will expire in 4 years from the date approved.
Initial Delivery Date	6/30/2019	The first vehicle(s) will be delivered
Final Delivery Date	6/30/2020	The final vehicle(s) will be delivered
Contract Completion Date	9/30/2020	The contract will be closed

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	11	\$374,080.00	\$467,600.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Indiana Department Of

Recipient ID: 1189

DUNS No: 824799555

Application Information

Federal Award Identification Number: IN-2018-022-00

Application Name: Section 5311 and 5339 Grants for SFY 2019

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/30/2020

Application Executive Summary: This grant to the Indiana Department of Transportation (INDOT) will utilize FFY 2018 and FFY 2016 Section 5311 Funds and FY 2018 and FFY 2016 Section 5339. The funds will be used for operating assistance for 43 rural transit providers, operating assistance for 2 intercity bus providers, RTAP, and 73 replacement transit vehicles, 11 expansion transit vehicles and State Administration. The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, and the state Public Mass Transportation Fund (PMTF). The local match for the 2 Intercity Projects will be in-kind match provided by Greyhound. The transportation providers are listed on the attached program of projects (Operating POP #1 and Capital POP #2).

The federal funding includes the following:

Program	Year	Amount	Account Class Code
Section 5311	2018	\$16,925,274	(2018.25.18.81)
Section 5311	2016	\$713,020	(2016.25.18.81)
Section 5311(RTAP)	2018	\$291,529	(2018.25.18.R7)
Section 5339	2018	\$3,017,044	(2018.25.34.BF)
Section 5339	2016	\$47,596	(2016.25.34.BF)

Total \$20,994,463

Attachments to this application include the FTA/FHWA STIP approval letter, STIP Cover Page, Section 5311 program of projects, Section 5339 program of projects, a list of replacement vehicles, Section 5311/5339 Grant Application, Intercity Bus Grant Application, Section 5311/5339 State Management Plan, In-Kind Match documentation-Barons Bus, In-Kind Match documentation-Miller, Greyhound Commitment Letter-Barons Bus, Greyhound Commitment Letter-Miller, Intercity Bus Schedule-Barons, Intercity Bus Schedule-Miller, Intercity Route Map-Barons, Intercity Route Map-Miller, INDOT Intercity Bus Study, INDOT TAM Plan, and DOL documentation.

The award date is the same as the date of award.

The award end date is 12/31/2020.

This application does not include funds for Research and/or Development activities.

Indirect costs will not be applied to this application and its scope of work.

DES NUMBERS 1500264 and 1500271

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$38,798,917.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$20,994,463.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$17,804,454.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$20,994,463.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$17,804,454.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,929,823
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$3,064,640
Local			\$8,412,001
Local/In-Kind			\$2,645,744
State			\$6,746,709
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$38,798,917

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Indiana Department Of